



CHECKLIST FOR APPLICATION FOR REGISTRATION AS REPOSITORY PARTICIPANT

Sr. No.	Particulars	Annexure	Put (✓ mark)
1.	RP Master Creation Form (Provide on plain paper – duly stamped and signed by authorised signatory on all pages)	RP Master Creation Form	
Other Annexures on Letterhead of company duly stamped and signed by authorised signatory			
2.	Details of registration of the applicant company, its holding company, group companies, associates and subsidiaries. _____ Certified copy of PAN card of the applicant company.	A	
3.	Brief profile of the applicant company.	--	
4.	Details of Key Management Personnel, Compliance Officer and Director.	B	
5.	Organizational Structure	--	
6.	Board Resolution - Authorizing official/s of the company to sign on behalf of the company on various documents	C	
7.	CCRL – RP Agreement on (Rs. 1000/- Stamp paper)	CCRL – RP Agreement Format	
8.	Authorized signatory’s Aadhaar copy and PAN Card copy	--	
9.	Certified Copy of SEBI/RBI registration certificate dated _____ <u>Additionally in case of renewal:</u> Original SEBI/RBI registration certificate dated _____. And application copy for registration renewal.	--	
10.	Net worth certificate and computation of net worth (in original) based on latest audited financial statements of the applicant company obtained from statutory auditors.	--	
11.	Certified copies of Audited financial statements of last 3 years (annual report of last 2 years)	--	
12.	Memorandum and articles of association (if web based link or soft copy is available, please provide.)	--	
13.	Certificate of incorporation	--	
14.	Undertaking in compliance with obtain acknowledged receipt of “Rights and Obligations of the Depositor/Client and Repository Participant”	D	
15.	Registration Details with Exchange and Depository	E	

Signature of Director/ authorised signatory with stamp